

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-two and older who are diagnosed as having a developmental disability of mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or require treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

E. Qualification of Providers:

Providers of targeted case management services for recipients as described above must be a Division of Developmental Disabilities Services Licensed Community Program.

STATE	<i>Arkansas</i>	A
DATE REC'D	JUN 09 1994	
DATE APP'D	JUL 06 1994	
DATE EFF	AUG 01 1994	
HCFA 179	<i>94-11</i>	

TN No. *94-11* Approval Date JUL 06 1994 Effective Date AUG 01 1994Supersedes TN No. *94-02*

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-two and older who are diagnosed as having a developmental disability of mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or require treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
- (1) Eligible recipients will have free choice of the providers of case management services.
 - (2) Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

STATE <u>Arkansas</u>	A
DATE REC'D <u>JUN 09 1994</u>	
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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

A. Target Group:

Medicaid recipients age twenty-one and younger who experience developmental delays; have a diagnosed physical or mental condition which has a high probability of resulting in a developmental delay; are determined to be at risk of having substantial developmental delay if early intervention services are not provided; are diagnosed as having a developmental disability which is attributable to mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or requires treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

B. Areas of State in which services will be provided:

☒ Entire State.☐ Only in the following geographic areas (authority of section 1915(g)(1) of the Act) is invoked to provide services less than Statewide:

C. Comparability of Services:

☐ Services are provided in accordance with section 1902(a)(10)(B) of the Act.☒ Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

D. Definition of Services:

Case management services are defined as referral for services or treatment. It is an activity under which responsibility for locating, coordinating and monitoring necessary and appropriate services for an individual rests with a specific person. Case management services will assist Medicaid recipients in gaining access to needed medical, social, educational and other services. These medical, social, educational and other services include services provided under the Arkansas Medicaid State Plan as well as those services not provided under the Arkansas Medicaid State Plan.

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HCFA 179	94-11	

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-one and younger who experience developmental delays; have a diagnosed physical or mental condition which has a high probability of resulting in a developmental delay; are determined to be at risk of having substantial developmental delay if early intervention services are not provided; are diagnosed as having a developmental disability which is attributable to mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or requires treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

D. Definition of Services (Continued):

The following are targeted case management service descriptions. **Effective for dates of service on or after December 1, 1997, prior authorization is required.**

- **Assessment/Updating:** Face to face contact with the recipient and contact with other professionals, caregivers, or other parties on behalf of the recipient. Assessment is performed for the purpose of collecting information about the recipient's situation and functioning and determining and identifying the recipient's problems and needs. Updating includes reexamining the recipient's needs and identifying changes which have occurred since the previous assessment. Updating includes measuring the recipient's progress toward service plan goals.
- **Service Management:** Functions and processes which include initial development of a service plan identifying the type of services to be pursued, which must be related to the recipient's needs identified in the assessment, contacting service providers selected by the recipient and negotiating for the delivery of services identified in the service plan and altering the service plan as the recipient's needs change. Contacts with the recipient and/or other professionals, caregivers, or other parties on behalf of the recipient may be a part of service management.

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SUPERSEDES: TN - 94-11

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-one and younger who experience developmental delays; have a diagnosed physical or mental condition which has a high probability of resulting in a developmental delay; are determined to be at risk of having substantial developmental delay if early intervention services are not provided; are diagnosed as having a developmental disability which is attributable to mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or requires treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

D. Definition of Services (Continued):

- Service Monitoring: Verifying through regular contacts with service providers that appropriate services are provided in a manner which is in accordance with the service plan and assuring through regular contact with the recipient that the recipient continues to participate in the service plan and is satisfied with services.

Refer to Attachment 4.19-B, Page 7, B. for the definition of a unit of service.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-one and younger who experience developmental delays; have a diagnosed physical or mental condition which has a high probability of resulting in a developmental delay; are determined to be at risk of having substantial developmental delay if early intervention services are not provided; are diagnosed as having a developmental disability which is attributable to mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or requires treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

E. Qualification of Providers:

Providers of targeted case management services for recipients as described above must:

- be certified by the Division of Developmental Disabilities Services as having successfully completed a Case Management Training Program, or
- be certified as an individual recognized and funded by the Arkansas Department of Education as an Early Childhood Coordinator who is responsible for implementing special education services under PL 99-457.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

Medicaid recipients age twenty-one and younger who experience developmental delays; have a diagnosed physical or mental condition which has a high probability of resulting in a developmental delay; are determined to be at risk of having substantial developmental delay if early intervention services are not provided; are diagnosed as having a developmental disability which is attributable to mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or requires treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
- (1) Eligible recipients will have free choice of the providers of case management services.
 - (2) Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

STATE	<i>Arkansas</i>	
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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

A. Target Group:

This service will be reimbursed when provided to children who are Medicaid recipients age 0-20 who are at risk of delinquency as evidenced by being in the care or custody of the Department of Human Services, Division of Youth Services (DYS) or under the care of a designated provider (specified by DYS) for assessment, supervision or treatment.

B. Areas of State in which services will be provided:

☒ Entire State.

☐ Only in the following geographic areas (authority of section 1915(g)(1) of the Act) is invoked to provide services less than Statewide.

C. Comparability of Services:

☐ Services are provided in accordance with section 1902(a)(10)(B) of the Act.

☒ Services are not comparable in amount, duration and scope. Authority of section 1915(g)(1) is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

A Definition of Services:

Targeted case management services are those that assist an individual in the target group in accessing needed medical, social, educational, and other services appropriate to the needs of the individual. Case management assistance includes the following activities:

Client Intake through identifying programs appropriate for the individual's needs, and providing assistance to the individual in accessing those programs.

Assessment of the recipients family/community circumstances and service needs in order to coordinate the identification, accessing and the delivery of services.

Case Planning with the recipient, care giver and other parties, as appropriate to identify the care, services and resources required to meet the recipient's needs and how they might be most appropriately delivered.

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SUPERSEDES: TN - 9420

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

This service will be reimbursed when provided to children who are Medicaid recipients age 0-20 who are at risk of delinquency as evidenced by being in the care or custody of the Department of Human Services, Division of Youth Services (DYS) or under the care of a designated provider (specified by DYS) for assessment, supervision or treatment.

D. Definition of Services: (Continued)

4. Service Coordination and Monitoring through linkage, referral, coordination, facilitation, documentation and recipient specific advocacy to ensure the recipients access to the care, services and resources identified in the case plan. This is accomplished by personal, written or electronic contacts with the recipient, his/her family or care giver, service providers and other interested parties:
5. Case Plan Reassessment will be periodically conducted to determine and document whether or not medical, social, educational or other services continue to be adequate to meet the goals identified in the case plan. Activities include assisting recipients to access different medical, social, educational or other needed care and services beyond those already identified and provided.

E. Qualification of Providers:

Case management services will be provided only through qualified provider agencies. Qualified case management services provider agencies must meet the following criteria:

- Have full access to all pertinent records concerning the child's needs for services including records of the Arkansas District Judicial Courts, Central Arkansas Observation and Assessment Center and the County and State Youth Services Agency,
- Have established referral systems and demonstrated linkages and referral ability with community resources required by the target population,
- Have a minimum of one year's experience in providing all core elements of case management services to the target populations,
- Have an administrative capacity to ensure quality of services in accordance with State and Federal requirements,

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SUPERSEDES: TN - 94-20

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

CASE MANAGEMENT

Target Group:

This service will be reimbursed when provided to children who are Medicaid recipients age 0-20 who are at risk of delinquency as evidenced by being in the care or custody of the Department of Human Services, Division of Youth Services (DYS) or under the care of a designated provider (specified by DYS) for assessment, supervision or treatment.

E. Qualification of Providers: (Continued)

- Have a financial management capacity and system that provides documentation of services and costs in accordance with OMB A-87 principles,
- Have a capacity to document and maintain individual case records in accordance with State and Federal requirements, and
- Have a demonstrated ability to meet all State and Federal laws governing the participation of providers in the State Medicaid program, including the ability to meet Federal and State requirements for documentation, billing and audits.

F. Freedom of Choice:

The State assures that the provision of case management services to children in the care, or custody of the Division of Youth Services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.

- Eligible recipients will have free choice of the qualified providers of case management service, and
- Eligible recipients will have free choice of the providers of other medical care as covered elsewhere under the Plan.

G. Non-Duplication of Payment:

Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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SUPERSEDES: TN - 94-20

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